

Australian Resources ETF Fund

30 November 2017

Objective

To invest in the Smartshares Exchange Traded Funds' Australian Resources Fund (ASR), which is listed on the NZX Main Board. The Australian Resources Fund invests in financial products listed on the ASX and is designed to track the return on the S&P/ASX 200 Resources Index (NZD). The S&P/ASX 200 Resources Index is made up of companies from the S&P/ASX 200 index where the company is classified as belonging to the energy sector or the metals and mining industry.

Investments

Details of the investments in the Australian Resources ETF Fund are:

Code	Investments	Units	NTA	Value (NZD)	Percentage
ASR	Australian Resources Fund	1,026,636	4.21015	\$ 4,322,292	100.0%
	Cash			\$ 22	0.0%
	Total			\$ 4,322,314	100.0%

Sector allocation

Materials	72.3%
Energy	27.7%
Total	100.0%

Top 10 holdings

Code	Holdings	Weight (%)
BHP	BHP Billiton Ltd	31.0%
RIO	Rio Tinto Ltd	10.7%
WPL	Woodside Petroleum Ltd	9.2%
NCM	Newcrest Mining Ltd	6.3%
S32	South32 Ltd	6.0%
ORG	Origin Energy Ltd	5.5%
OSH	Oil Search Ltd	3.3%
STO	Santos Ltd	3.2%
CTX	Caltex Australia Ltd	3.1%
FMG	Fortescue Metals Group Ltd	2.8%
	Total	81.1%

Notes

¹ Smartshares is the Manager of the SuperLife Invest, SuperLife workplace savings, SuperLife KiwiSaver and SuperLife UK pension transfer schemes ("SuperLife schemes"). Smartshares is also the Manager of the Smartshares Exchange Traded Funds scheme which the SuperLife schemes invest in. The product disclosure statements for the SuperLife schemes can be downloaded here www.superlife.co.nz/legal-doc

² For details of the Australian Resources Fund visit the following link www.smartshares.co.nz/types-of-funds/smartsector/au-resources

³ "NTA" is the Net Tangible Assets per unit in the Smartshares Australian Resources Fund.